ANTI-FRAUD AND ANTI-CORRUPTION STRATEGY – PROGRESS REPORT AS AT 8 DECEMBER 2023

Ref	Action	2023-24	2024-25	2025-26	Progress as at 8 Dec 2023	RAG rating
	Govern					
A1	Review the Anti-Fraud and Anti-Corruption Strategy.	✓	✓	✓	**Complete for 2023-24** - the updated Strategy was presented to the Audit Committee on 18 July 2023 and will be presented to Cabinet on 22 February 2024.	🟢
A2	Devise a risk assessment methodology for all fraud referrals.	✓			**Not yet started** – tool to be developed by 31 March 2023.	🔴
	Acknowledge					
A3	Refresh fraud awareness training for members and employees.	✓			**Complete** – the Fraud Awareness E-Learning modules have now been updated with the training module for School staff updated on 23 June 2023 and the module for non-schools staff updated on 5 October 2023.	🟢
A4	Attend all Departmental Senior Management Teams annually to raise fraud awareness.	✓	✓	✓	**Complete for 2023-24** – attendance at Corporate Finance & ICT, Place, Children's Services and Adult Care Senior Management Teams in June / July 2023.	🟢
A5	Work with the Director of Finance & ICT to make Fraud Awareness training mandatory for all corporate finance staff.	✓			**Complete** – Corporate Finance & ICT Management Meeting agreed on 8 June 2023 that completion of the Fraud Awareness (Prevention) Training is mandatory for all Finance ICT staff.	🟢

Ref	Action	2023-24	2024-25	2025-26	Progress as at 8 Dec 2023	RAG rating
A6	Work with Executive Directors to extend mandatory Fraud Awareness Training within the department.		✓	✓	**Ongoing** – we have attended a number of management and team meetings to promote fraud awareness and the completion of the on-line Fraud Prevention Training E-Learning. As at 30 November 2023, 806 staff had completed the E-Learning and face to face Fraud Awareness training has been provided to 115 staff. This work is ongoing and will be boosted by the Governance Group's recent decision to make fraud training mandatory for all new starters.	🟠
A7	Provide fraud awareness training to maintained schools.	✓	✓	✓	**Complete for 2023-24** – fraud awareness training was provided at the School Business Officer (SBO) meetings on 6 June and 11 October 2023. School staff have also been reminded of the availability of the online Fraud Prevention Training for Schools.	🟢
A8	Support the annual Fraud Awareness Week in November.	✓	✓	✓	**Complete for 2023-24** – fraud awareness information was published to all employees via our Derbyshire week commencing 13 November 2023 to align with International Fraud Awareness Week 2023.	🟢

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A9	Establish and maintain working relationships with internal and external organisations involved in counter fraud activity across Derbyshire.	✓	✓	✓	**Ongoing** – discussions are currently ongoing with neighbouring councils to link into regional fraud groups in order to share fraud knowledge across the County.	🟠
A10	Develop a counter fraud presence on the Corporate Services and Transformation SharePoint site.	✓			**Ongoing** – Fraud Prevention content has been created which will be included on the CST SharePoint page when this is launched in the coming months.	🟠
🔒	**Prevent**					
A11	Identify national datasets which could be used to proactively identify instances of fraud and corruption.	✓	✓	✓	**Ongoing** – discussions have been held with the Cabinet Office to better understand the functionality within the Spotlight system. In addition, work is ongoing to identify datasets held within the Council to consider whether these could be interrogated to identify potential instances of fraud.	🟠
A12	Embed counter fraud testing within all planned audit reviews.	✓	✓	✓	**Not yet started** – work on this objective will be undertaken in the coming months.	🔴
➡	**Pursue**					
A13	Develop a Counter Fraud Communications Plan.	✓			**Complete** – a Counter Fraud Communications Plan has been developed which details all planned counter fraud actions, reports and	🟢

Ref	Action	2023-24	2024-25	2025-26	Progress as at 8 Dec 2023	RAG rating
					communications through to 31 December 2024. This document will be updated on a rolling twelve month basis.	
A14	Complete all audit investigations within three months of referral unless extended by the Assistant Director of Finance (Audit).	✓	✓	✓	**Ongoing** – a scoping meeting is now held at the start of each audit investigation to agree the scope of the investigation and key contacts. Regular update meetings are held throughout the investigation to review progress and agree next steps.	🟠
A15	Produce an Annual Fraud Report to support the Annual Audit Report.		✓	✓	**Not yet due** – the first Annual Fraud Report will be produced in summer 2024 alongside the Annual Audit Report.	N/A
👤	**Protect**					
A16	Create a Fraud Risk Register.	✓			**Ongoing** – a draft Fraud Risk Register has been produced and discussions held with Risk Management colleagues regarding next steps.	🟠